

INVITATION

The Faculty of Law, Economics & Finance
of the University of Luxembourg
and
the Faculty of Law of the University of Hong Kong
are pleased
to invite you to the conference :

“Legal Issues in Fund Distribution”

Programme

- 9:00-9:10** Chairman's Introduction
Professor Christian Wolff, Luxembourg School of Finance, University of Luxembourg
- 9:10-9:20** Welcoming Address
Marc Feider, Senior Partner, Allen & Overy, Luxembourg

DISTRIBUTOR CONDUCT OF BUSINESS RULES

Know-Your-Customer and Duties of Information Requirements in Fund Distribution

- 9:20-9:40** **Corrado Malberti**, Associate Professor of Law, University of Luxembourg
"Know-Your-Customer Requirements in Fund Distribution in EU countries – MiFID"
- 9:40-10:00** **Patrick Goebel**, Counsel, Allen & Overy, Luxembourg
"Duties of Information in EU Countries"
- 10:00-10:30** **Lisa Chen**, Consultant, Clifford Chance, Hong Kong
"Know-Your-Customer and Duties of Information Requirements in Hong Kong"

Distributor's Liability

- 10:30-11:00** **Isabelle Riassetto**, Professor of Law, University of Luxembourg
"Distributor's Liability in EU Countries"
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- 11:00-11:30** **Coffee**
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- 11:30-12:00** **Alan Ewins**, Partner, Allen & Overy, Hong Kong
"Distributor's Liability in Hong Kong"

UCITS ENHANCED INVESTMENT POWERS

- 12:00-12:30** **Freddy Brausch**, Managing Partner, Linklaters, Luxembourg
"Alternative UCITS, Definition and Interpretation Issues, ESMA Discussion Paper on Guidelines"

12:30-13:15 **Panel discussion:** "Drawing the Line in Law and in Practice – the Use or Non-Use of Enhanced Investment Powers"

Sally Wong, CEO, Hong Kong Investment Fund Association (Moderator)
Douglas Arner, Professor of Law, Hong Kong University
Steve Chiu, Managing Director, Bosera Asset Management (Intl.) Ltd., Hong Kong
Jeremy Lam, Partner, Deacon's, Hong Kong
Additional speaker tbc

13:15-14:15 **Stand-up Warm Buffet Lunch**

14:15-14:20 Chairman's Introduction
Professor Say Goo, Faculty of Law, University of Hong Kong, Director, Asian Institute of International Financial Law (AIIFL)

REGULATION OF DISTRIBUTION IN MAINLAND CHINA

14:20-14:50 **Claire Wilson**, PhD Candidate, City University of Hong Kong, Lecturer in Banking & Finance Law at Hong Kong Shue Yan University
"Regulating the Distribution of Funds with a Focus on Market Sustainability: The Case of the Recent Liberalisation in Mainland China"

ALTERNATIVE INVESTMENT FUND MANAGERS DIRECTIVE („AIFMD")

14:50-15:20 **Gast Juncker**, Partner, Elvinger, Hoss & Prussen, Luxembourg
"Key Legal Distinctions to UCITS for Products and ManCos"

15:20-16:00 **Panel Discussion:** "Hong Kong Perspectives on AIFMD Implementation in Light of Recent ESMA Recommendations on Third-Country Managers and Alternative Investment Funds"

Marc Feider, Senior Partner, Allen & Overy, Luxembourg (Moderator)
Stéphane Karolzcuk, Head of Hong Kong Office, Arendt & Medernach,
Alwyn Li, Partner, Partner, Deacon's, Hong Kong

16:00 Closing Remarks



Date of the event:

Thursday, 8 December 2011

09:00 to 16:00

Venue kindly provided by

ALLEN & OVERY

Allen & Overy

9th Floor

Three Exchange Square

Central

Hong Kong

Registrations:

- Registration is mandatory, by email before
December 2nd, 2011
- At the following address: lsf-events@uni.lu

Information:

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